

Chapter 7

Guidelines for the Provision of Anaesthesia Services (GPAS)

Guidelines for the Provision of Anaesthesia Services in the Non-theatre Environment 2023

Consultation Draft November 2022



NICE has accredited the process used by the Royal College of Anaesthetists to produce its Guidance on the Provision of Anaesthesia Services. Accreditation is valid for five years from 2023.

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Declarations of interest

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All chapter development group (CDG) members, stakeholders and external peer reviewers were asked to declare any pecuniary or non-pecuniary conflict of interest, in line with the guidelines for the provision of anaesthetic services (GPAS) conflict of interest policy as described in the GPAS chapter development process document.

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The nature of the involvement in all declarations made was not determined as being a risk to the transparency or impartiality of the chapter development. Where a member was conflicted in relation to a particular piece of evidence, they were asked to declare this and then, if necessary, remove themselves from the discussion of that particular piece of evidence and any recommendation pertaining to it.

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Medicolegal implications of GPAS guidelines

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GPAS guidelines are not intended to be construed or to serve as a standard of clinical care. Standards of care are determined based on all clinical data available for an individual case and are subject to change as scientific knowledge and technology advance and patterns of care evolve. Adherence to guideline recommendations will not ensure successful outcome in every case, nor should they be construed as including all proper methods of care or excluding other acceptable methods of care aimed at the same results. The ultimate judgement must be made by the appropriate healthcare professional(s) responsible for clinical decisions regarding a particular clinical procedure or treatment plan. This judgement should only be arrived at following discussion of the options with the patient, covering the diagnostic and treatment choices available. It is advised, however, that significant departures from the national guideline or any local guidelines derived from it should be fully documented in the patient's case notes at the time the relevant decision is taken.

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Promoting equality and addressing health inequalities

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The Royal College of Anaesthetists (RCOA) is committed to promoting equality and addressing health inequalities. Throughout the development of these guidelines, we have:

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- given due regard to the need to eliminate discrimination, harassment and victimisation, to advance equality of opportunity, and to foster good relations between people who share a

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43 relevant Protected Characteristic (as defined in the Equality Act 2010) and those who do not
44 share it

- 45 • given regard to the need to reduce inequalities between patients in access to, and outcomes
46 from healthcare services and to ensure services are provided in an integrated way where this
47 might reduce health inequalities.

48 **GPAS Guidelines in context**

49 The GPAS documents should be viewed as 'living documents'. The GPAS guidelines development,
50 implementation and review should be seen not as a linear process, but as a cycle of interdependent
51 activities. These in turn are part of a range of activities to translate evidence into practice, set
52 standards and promote clinical excellence in patient care.

53 Each of the GPAS chapters should be seen as independent but interlinked documents. Guidelines on
54 the general provision of anaesthetic services are detailed in the following chapters:

- 55 • [Chapter 1: Guidelines for the Provision of Anaesthesia Services: The Good department](#)
- 56 • [Chapter 2: Guidelines for the Provision of Anaesthesia Services for the Perioperative Care of](#)
57 [Elective and Urgent Care Patients.](#)

58 These guidelines apply to all patients who require anaesthesia or sedation, and are under the care of
59 an anaesthetist. For urgent or immediate emergency interventions, this guidance may need to be
60 modified as described in [Chapter 5: Guidelines for the Provision of Emergency Anaesthesia](#).

61 The rest of the chapters of GPAS apply only to the population groups and settings outlined in the
62 'Scope' section of these chapters. They outline guidance that is additional, different or particularly
63 important to those population groups and settings included in the 'Scope'. Unless otherwise stated
64 within the chapter, the recommendations outlined in chapters 1–5 still apply.

65 Each chapter will undergo yearly review, and will be continuously updated in the light of new
66 evidence.

67 Guidelines alone will not result in better treatment and care for patients. Local and national
68 implementation is crucial for changes in practice necessary for improvements in treatment and
69 patient care.

70 **Aims and objectives**

71 The objective of this chapter is to promote current best practice in service provision for anaesthetic
72 care provided in the non-theatre environment. The guidance is intended for use by anaesthetists with
73 responsibilities for service delivery and healthcare managers.

74 This guideline does not comprehensively describe clinical best practice in anaesthetic care in the non-
75 theatre environment but is primarily concerned with the requirements for the provision of a safe,
76 effective, well-led service, which may be delivered by many different acceptable models. The
77 guidance on provision of anaesthetic care in the non-theatre environment applies to all settings
78 where this is undertaken, regardless of funding. All age groups are included within the guidance unless
79 otherwise stated, reflecting the broad nature of this service.

80 A wide range of evidence has been rigorously reviewed during the production of this chapter,
81 including recommendations from peer reviewed publications and national guidance where
82 available. However, both the authors and the CDG agreed that there is a paucity of level 1 evidence
83 relating to service provision for anaesthetic care in the non-theatre environment. In some cases, it has
84 been necessary to include recommendations of good practice based on the clinical experience of
85 the CDG. We hope that this document will act as a stimulus for future research.

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86 The recommendations in this chapter will support the RCoA's Anaesthesia Clinical Services
87 Accreditation (ACSA) process.

88 **Scope**

89 **Target audience**

90 All staff groups providing anaesthesia to patients under the care of an anaesthetist in the non-theatre
91 environment, including (but not restricted to) anaesthetists, operating department practitioners
92 (ODPs), anaesthesia associates (AAs), nurses, allied health professionals and pharmacy staff.

93 **Target population**

94 All ages of patients undergoing anaesthesia in the non-theatre environment under the care of an
95 anaesthetist.

96 **Healthcare setting**

97 All non-theatre settings within the hospital in which anaesthesia services are provided.

98 **Clinical management**

99 Key components needed to ensure provision of high quality anaesthesia services in the non-theatre
100 environment.

101 Areas of provision considered:

- 102 • levels of provision of service, including (but not restricted to) staffing, equipment, support
103 services and facilities

104 areas of special requirement including:

- 105 • children
- 106 • patients with additional needs
- 107 • the emergency department (ED)
- 108 • the radiology department
- 109 • interventional radiology
- 110 • magnetic resonance imaging (MRI)
- 111 • electroconvulsive therapy (ECT)
- 112 • direct current (DC) cardioversion
- 113 • radiotherapy
- 114 • dental procedures
- 115 • gastrointestinal procedures.
- 116 • training and education
- 117 • research and audit
- 118 • organisation and administration
- 119 • patient information.

120 **Exclusions**

121 Exclusive provision of services provided by a specialty other than anaesthesia.

122 Patients undergoing anaesthesia within a critical care setting.

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123 Patients undergoing anaesthesia in a non-hospital environment.

124 Introduction

125 There are increasing numbers of diagnostic and therapeutic procedures performed outside the main
126 theatre environment, in both elective and emergency situations. These procedures may require
127 anaesthetic involvement through haemodynamic monitoring during the procedure, sedation,
128 regional anaesthesia or general anaesthesia. The challenge for anaesthesia is to develop a
129 framework that supports and regulates the safe delivery of care.

130 Commercial and NHS healthcare providers are expanding non-theatre environments to deliver
131 surgical and diagnostic procedures. This framework guidance should be applied to all non-theatre
132 services delivered that require anaesthetic interventions.

133 The complexity and challenges of providing anaesthesia care in the non-theatre environment should
134 be acknowledged through appropriate regulation of healthcare providers and training and
135 certification of anaesthesia providers. All clinical personnel assisting with anaesthesia should be
136 certified resuscitation providers.

137 Facilities delivering anaesthesia and sedation by anaesthetic providers should develop a culture of
138 safety that reflects GPAS guidelines. Patients expect uniformly high standards of service provision
139 wherever the service is provided and whoever is the provider.

140 The development of deep sedation techniques and general anaesthesia with total intravenous
141 anaesthesia (TIVA)/ target-controlled infusion (TCI) techniques may remove the requirement for
142 complex gas delivery systems and anaesthetic machines. The safe delivery of anaesthesia through
143 preoperative assessment, case selection, anaesthesia delivery, recovery and postoperative care
144 should not be compromised due to cost pressures.

145 The physical environment can be challenging for the safe provision of anaesthesia when compared
146 with the main theatre environment. The anaesthesia providers should develop safe practice guidelines
147 that consider the assessment, induction, recovery and discharge of patients. In addition, procedure-
148 specific risks such as radiation exposure, and infection control should be considered. Compliance with
149 the surgical safety checklist is obligatory.¹ Complication management should be written into patient
150 pathways with consideration of access to other medical, surgical, and critical care services.

151 Recommendations

152 The grade of evidence and the overall strength of each recommendation are tabulated in Appendix
153 I. If sedation is performed without an anaesthetist present, the professionals should adhere to the
154 guidelines of their own Colleges and the Academy of Medical Royal Colleges.^{2,3,4,5}

155 For the purpose of these guidelines, deep sedation should be held to the same standards as
156 anaesthesia. Detailed recommendations are detailed in [Guidelines for the Provision of Anaesthesia
157 Services for the Perioperative Care of Elective and Urgent Care Patients](#).

158 1 Staffing requirements

159 1.1 A clinical lead(s) (see [Glossary](#)) for anaesthesia in the non-theatre environment should be
160 appointed with adequate time provided within their job plan to develop the service, train staff,
161 and ensure that safety standards are upheld.^{2,5,6} The anaesthesia clinical lead for the non-
162 theatre environment should create local consensus guidelines for the staffing of each non-
163 theatre area where anaesthesia is delivered.

164 1.2 An escalation policy should be in place and understood by all medical, healthcare
165 professional and managerial staff. This should include the names and method of contact,

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- 166 which should be prominently displayed in appropriate areas. Internal hospital telephone
167 switchboards should have ready access to rotas and methods of contacts.⁷
- 168 1.3 The non-theatre environment involves multiple clinical teams working together. There should be
169 an anaesthesia clinical lead to coordinate, collaborate, and communicate between clinical
170 teams and to offer effective, explicit leadership.⁵
- 171 1.4 A dedicated, appropriately trained anaesthetic assistant, who is familiar with that specific
172 environment, should be available in all non-theatre environments where anaesthesia or deep
173 sedation is undertaken by an anaesthetist.^{8,9}
- 174 1.5 Patients recovering from anaesthesia or all depths of sedation including mild sedation (see
175 [Glossary](#)) in a non-theatre environment should receive the same standard of care as that
176 required in an operating theatre.^{10,11}
- 177 1.6 The requirements for non-theatre anaesthesia services out of hours should be locally agreed
178 and sufficient staffing should be in place to deliver all aspects of the emergency workload
179 without compromising patient safety.¹²
- 180 1.7 If a radiology department provides an emergency interventional service for which anaesthesia
181 services may be required, plans for staffing this anaesthetic service should be made,
182 particularly outside of normal working hours. Clear referral pathways for anaesthetic support for
183 interventional radiology should be provided for all hours the service is offered.^{13,14,15,16,17}
- 184 1.8 Anaesthesia for non-theatre environment should be delivered by a competent individual with
185 appropriate supervision; the level of supervision should reflect the severity of the case and the
186 seniority of the individual in accordance with the [RCoA's Guidance on supervision
187 arrangements for anaesthetists](#).¹⁸
- 188 1.9 Anaesthetists in training should be given the appropriate level of responsibility, according to
189 their competence and level of training, to gain the experience of non-theatre environment, to
190 enable them to function as a consultant later in their career. Anaesthetists in training must be
191 appropriately supervised at all times; rotas and staffing arrangements should be in place to
192 facilitate this training.¹⁹

2 Facilities, equipment and services

Facilities

- 194 2.1 Access to lifts for easy trolley transfer should be available.
- 195 2.2 Procedure rooms should be large enough to accommodate equipment and personnel, with
196 enough space to move about safely and to enable easy access, for staff and equipment to
197 the patient at all times.²⁰
- 198 2.3 Environments in which patients receive anaesthesia or sedation should have full facilities for
199 resuscitation available, including a defibrillator, suction, oxygen, airway devices, an escalating
200 plan of airway intervention equipment including equipment required to manage a difficult
201 airway and a means of providing ventilation.²¹
- 202 2.4 The anaesthetist should consider all environmental factors when planning administration of
203 anaesthesia or sedation.²²
- 204 2.5 When rooms are darkened hindering direct observation of the patient, an alternative light
205 source should be available to facilitate patient observation and documentation.²²
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- 207 2.6 Transfer of a patient from the procedure room to other areas within the institution should be
208 possible to arrange if necessary.
- 209 2.7 A recovery area or equivalent should be available for each patient at the end of the
210 procedure.²⁰
- 211 2.8 A telephone and facilities to allow access to online information, such as electronic patient
212 records, local guidelines and clinical decision aids, should be available.²²
- 213 **Equipment**
- 214 2.9 Equipment for the minimum standards of monitoring should be available at all sites where
215 patients receive anaesthesia or sedation.²³ For patients receiving conscious sedation, this
216 should include pulse oximetry.
- 217 2.10 Continuous waveform capnography should be available for all patients undergoing general
218 anaesthesia and moderate or deep sedation.^{23,24}
- 219 2.11 The anaesthetist should ensure that an adequate supply of oxygen is available before starting
220 any procedure. Many of the sites where anaesthesia is provided outside the main operating
221 theatres do not have piped oxygen; if anaesthesia is provided frequently in such a location,
222 the use of the location should be reviewed, or piped oxygen provided. The organisational
223 culture should enable anaesthetists to raise concerns if safety and monitoring standards are
224 compromised.²²
- 225 2.12 Where piped oxygen is utilised, back up cylinders should always be available and
226 appropriately stored.
- 227 2.13 All anaesthetic equipment should be standardised (where possible) in all areas providing
228 anaesthetic services, including equipment for resuscitation and life support with the exception
229 of any equipment that needs to be magnetic resonance (MR) safe. All anaesthetic equipment
230 should be subjected to a standardised programme of maintenance.²²
- 231 2.14 All staff should be provided with opportunities to familiarise themselves with all equipment by
232 attending formal training sessions.²² Training sessions should be documented accordingly.
- 233 2.15 Equipment standards where anaesthesia is planned, including with controlled ventilation,
234 should replicate the facilities available in the main theatre suites and should be commensurate
235 with local hospital anaesthetic facilities.^{22,25}
- 236 2.16 All anaesthetic equipment should be checked prior to use in accordance with the Association
237 of Anaesthetists published guidelines.²⁶ Anaesthetic machine checks should be recorded in a
238 log book and on the anaesthetic chart.
- 239 2.17 All procedures should be compliant with National Safety Standards for Invasive Procedures
240 (NatSSIPs) and the Safe Surgery Checklist.^{27,28} An appropriate 'pre list check' of the anaesthesia
241 systems, facilities, equipment, supplies and resuscitation equipment should be performed prior
242 to the start of each list.²²
- 243 2.18 Appropriate equipment should be available to monitor a patient's temperature, minimise heat
244 loss and to provide active patient warming.²⁵
- 245 2.19 All patient trolleys should be capable of being tipped into the head down position and be
246 easily transferrable to the rest of the hospital.²⁵ The exception to this is the magnetic resonance
247 (MR) safe trolleys.

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248 Medication

- 249 2.20 A standardised list of anaesthesia medications should be available wherever anaesthesia or
250 sedation is undertaken. A full range of emergency medications including specific reversal
251 agents such as naloxone, sugammadex and flumazenil should be available.²⁹
- 252 2.21 In remote locations where anaesthesia is undertaken, medications to treat rare situations, such
253 as dantrolene for malignant hyperthermia, or intralipid for local anaesthetic toxicity should be
254 immediately available and located in a designated area.²⁹
- 255 2.22 There must be a system for ordering, storage, recording and auditing of controlled drugs in all
256 areas where they are used, in accordance with legislation.^{25,30,31,32,33}
- 257 2.23 Robust systems should be in place to ensure reliable medicines management, including
258 storage facilities, stock review, supply, expiry checks and access to appropriately trained
259 pharmacy staff to manage any drug shortages.^{25,34}
- 260 2.24 All local anaesthetic solutions should be stored separately from intravenous infusion solutions, to
261 reduce the risk of accidental intravenous administration of such drugs.^{25,35}
- 262 2.25 Drug labels should be used to identify syringes and infusions that contain medications.^{25,36} A
263 robust system of communication between the anaesthetists, nursing staff, and proceduralists
264 including confirmation of medications should be in place to avoid miscommunication and
265 miscalculation errors.²⁹
- 266 2.26 Local guidelines should consider mitigating the risk of drug overdose for drugs that are
267 available in different strengths. Stocking medications in only one strength (e.g., Ketamine and
268 Midazolam) can decrease the incidence of medication errors.
- 269 2.27 Prefilled syringes supplied by the pharmacy should be considered, especially in areas where
270 anaesthesia is delivered in an emergency.³⁷

271 Services

- 272 2.28 Patients should be appropriately monitored by trained staff during their recovery from
273 anaesthesia or sedation.²⁵
- 274 2.29 The care of the patient should remain the responsibility of the anaesthetist up to discharge for
275 ambulatory procedures or ward transfer for inpatient procedures.

276 3 Areas of special requirement

277 Children

278 Children presenting for anaesthesia outside the operating room may present challenges relating to
279 the procedure, the environment, or physical, physiological and psychological challenges. Children
280 may often require repeat treatments or investigations. Minor procedures and diagnostic tests may be
281 performed with sedation techniques. In addition, anaesthesia may be required for more invasive
282 procedures in children.^{38,39}

283
284 Detailed recommendations for children's services are comprehensively described in [GPAS chapter 10:
285 Guidelines for the Provision of Paediatric Services](#).

- 286
287 3.1 Children should always be managed in accordance with RCoA and Association of Paediatric
288 Anaesthetists of Great Britain and Ireland recommendations.^{40,41}

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- 289 3.2 Each facility should develop written policies, designating the types of paediatric operative
290 diagnostic and therapeutic procedures requiring anaesthesia.
- 291 3.3 The paediatric anaesthetist should consider the patient age, physical capacity, complexity of
292 the procedure and the status of the surgical facility before administering anaesthesia. Children
293 with learning and/or communication difficulties requiring sedation or anaesthesia should be
294 managed as per the recommendations of [GPAS chapter 10: Guidelines for the Provision of](#)
295 [Paediatric Services](#).
- 296 3.4 Irrespective of the site of care delivery, (theatre or non-theatre) children should receive the
297 same standard of anaesthetic care or sedation as applied to procedures performed in
298 theatre.⁴¹
- 299 3.5 Equipment available in remote sites should replicate equipment available in the main
300 paediatric facility.
- 301 3.6 Guidance for paediatric sedation should be developed for the local context, by a
302 multidisciplinary team.
- 303 3.7 Paediatric sedation should be managed in accordance with recognised national
304 guidelines.^{42,43}
- 305 **Patients with additional needs including learning disabilities and neurodiversity**
- 306 3.8 Where necessary, pre-medication or sedation should be considered for patients with
307 additional needs, including those with extreme anxiety.
- 308 3.9 Where possible, reasonable adjustments to processes and environments should be made to
309 reduce anxiety and avoid the need for elaborate pre-medication of patients. Such
310 adjustments may include admission directly to the procedure room, wearing outdoor clothes,
311 and/or not performing observations.⁴⁴
- 312 3.10 All patients with complex needs should have a suitable preoperative assessment and
313 multidisciplinary planning and an anaesthetist should be involved in the best interest discussions
314 regarding individual risk.⁴⁵ Learning disability liaison teams or equivalent should be involved
315 early in care planning.
- 316 3.11 Consideration should be given to providing additional flexibility in the timing of lists to allow
317 adequate time for patients with learning disabilities.⁴⁵
- 318 3.12 Where it is offered, policies should be in place for home sedation for patients who will not leave
319 their home in conjunction with the ambulance service. This should involve a risk assessment,
320 detailed plan and emergency contingencies.^{44,45,46}
- 321 3.13 Policies should be in place for in-car or entrance hall sedation for patients that will leave home
322 but have difficulties entering the hospital environment.⁴⁵
- 323 3.14 Policies should be in place for the safe administration of anxiolytic pre-medication within the
324 admissions area or anaesthetic room.^{44,45,46}
- 325 3.15 Any pre-procedure sedation that occurs outside of a normal clinical environment should have
326 all the anaesthetic equipment that is required for monitoring and airway support plus a trained
327 assistant available. There should be formal training in the pathways used.
- 328 3.16 Bespoke plans should be clearly communicated and documented with contingencies and
329 escalation.

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330 3.17 If a patient with additional needs requires multiple procedures to be conducted during a single
331 anaesthetic, appropriate logistical planning should be considered, including arrangements for
332 safe transfer to other non-theatre sites where applicable.

333 3.18 Safe recovery of patients with learning disabilities should be planned in advance. Patients
334 ideally should be recovered in an area with lower levels of noise and lighting and have a
335 familiar presence, such as their carer present.

336 3.19 Appropriate exposure should be given to anaesthetists during their training to develop skills in
337 reasonable adjustments and anxiolysis. Allied professionals specialising in this area should also
338 be given training.^{44,45}

339 Further recommendations on communication with patients, including those with complex needs, can
340 be found in [Section 9](#) of this chapter.

341 The Emergency Department

342 Patients requiring anaesthesia in the emergency department (ED) are frequently critically ill or injured.
343 Their physiological derangement and sensitivity to anaesthetic agents, coupled with the potential for
344 increased difficulty in tracheal intubation, requires the presence of an anaesthetist competent to
345 manage these challenges in a timely and effective manner.⁴⁷

347 3.20 In a designated major trauma centre the receiving trauma team should include an
348 anaesthetist with appropriate airway and damage control resuscitation competencies to
349 manage trauma patients.^{48,49}

350 3.21 The safe management of unstable patients depends on close liaison between emergency
351 physicians, anaesthetists and intensivists.^{50,51} Local collaboration and leadership through
352 committee structure or working groups should ensure the following:

- 353 • clear guidelines are easily accessible to all staff regarding fixed contact points for
354 anaesthetic support, channels of escalation, equipment availability, medication use and
355 peri-procedural care.⁵² Major trauma and neuroscience centres should consider
356 producing generic guidance on specific clinical presentations to support rotating
357 clinicians with limited experience
- 358 • all anaesthetic staff providing support to the emergency department within the context of
359 their job plan should be offered a tour of the emergency department as part of induction
- 360 • emergency department support staff should be regularly trained to assist with advanced
361 airway interventions such as tracheal intubation
- 362 • advanced airway interventions should be clearly recorded in patient notes in a structured
363 format that facilitates review, debrief and continuous quality improvement work
- 364 • audit and discussion of complications should be undertaken regularly by the
365 multidisciplinary team.

366 3.22 Emergency airway management in the ED should follow the recommendations of the
367 collaborative working framework of the Royal College of Emergency Medicine and the Faculty
368 of Intensive Care Medicine.^{53,54}

369 3.23 The use of an emergency induction checklist is recommended. Airway and resuscitation
370 equipment should be organised as per the equipment governance recommendations of the
371 collaborative framework of the Royal College of Emergency Medicine and the Faculty of
372 Intensive Care Medicine.⁵⁴

373 3.24 Local and national guidelines should be adhered to for patients requiring inter-hospital transfer
374 to the regional trauma centre.⁵⁵ Equipment for transfer should be organised in accordance

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375 with the recommendations of the collaborative framework of the Royal College of Emergency
376 Medicine and the Faculty of Intensive Care Medicine.⁵⁴

377 **3.25** Transfer of patients within the hospital to the intensive care unit (ICU), radiology department or
378 the operating theatre is not without risk and will require the use of a tipping transfer trolley,
379 oxygen cylinders, suction, a transport ventilator, infusion pumps, monitor with adequate battery
380 life and a portable defibrillator if appropriate. Local guidelines along with use of a formal intra-
381 hospital transfer form should be considered to mitigate procedure specific issues.

382 **3.26** Procedural sedation and analgesia in the ED should follow the recommendations from the
383 RCoA and the Royal College of Emergency Medicine.³⁷ Medications and medication safety
384 systems in the ED should align with the recommendations of the collaborative framework of the
385 Royal College of Emergency Medicine and the Faculty of Intensive Care Medicine.⁵⁴

386 **The Radiology department**

387 Patients requiring general anaesthesia in the radiology department are of all ages and comorbid
388 conditions, requiring everything from planned elective care to emergency care for life threatening
389 conditions. Increasingly complex, lengthy procedures are performed in the radiology department at
390 all times and this represents a more challenging environment in which to provide anaesthesia
391 compared with an operating theatre.⁵

392 **3.27** Exposure to ionising radiation should be kept to a minimum using screens and personal
393 protective equipment such as lead gowns and thyroid shields. Remote secondary monitors in
394 screened viewing areas should be provided and staff should remain as distant from the
395 imaging source as possible if they remain in the x-ray environment.^{56,57}

396 **3.28** Anaesthetists who work regularly within the radiology department should be issued with
397 personal dosimeters by their employer to monitor their radiation exposure and to ensure this
398 remains within statutory dose limits.⁵⁸

399 **3.29** The anaesthetist accompanying transferred patients to the radiology department should be
400 suitably skilled and experienced to manage all eventualities in an isolated environment and
401 should be accompanied by a dedicated trained assistant.⁷

402 **3.30** As not all radiology tables tilt into a head down position, a tipping trolley should be available
403 for patients who require general anaesthesia.

404 **Interventional radiology**

405 Recommendations on the provision of mechanical thrombectomy services can be found in [Chapter](#)
406 [14: Guidelines for the Provision of Neuroanaesthesia services](#).

407 **3.31** The provision of anaesthesia services should be considered when designing interventional
408 radiology services and there should be agreement about the level of provision, and protocols
409 to request anaesthetic support, for both elective and emergency cases.

410 **3.32** Procedure specific agents, such as those required to manipulate coagulation, intracranial
411 pressure or arterial blood pressure should be available.⁵⁹

412 **3.33** Interventional vascular radiology may involve treating unstable patients with severe
413 haemorrhage. Such patients may include those with significant gastrointestinal bleeding or
414 patients with post-partum haemorrhage.^{60,61,62} Equipment to deal with these patients should be
415 immediately available. This includes a variety of intravascular catheters, rapid infusion devices,
416 blood and fluid warming devices and patient warming devices.

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417 3.34 The local protocol for major haemorrhage should be available and periodically rehearsed as a
418 team by formal simulation or other training sessions.

419 **Magnetic resonance imaging**

420 3.35 National guidelines for the management of patients in the MR suite should be available and
421 followed.^{63,64,65}

422 3.36 There should be locally agreed protocols and pathways for the provision of anaesthetic
423 services in magnetic resonance imaging (MRI) both in and out of hours.

424 3.37 Anaesthetic equipment that is used in the MRI scanning room should be MR safe or
425 conditional.^{5,65}

426 3.38 Remote monitoring of the patient with a secondary screen in the control room should be
427 available to allow the anaesthetic team to monitor the patient from outside of the magnetic
428 field.

429 3.39 Particular consideration should be given to the problems of using infusion pumps. All non-
430 essential pumps and equipment should be removed from the patient before entering the
431 magnetic field. MR safe or conditional infusion pumps or the use of a protective MR capsule for
432 standard pumps should be available wherever anaesthesia is provided regularly. Infusions with
433 extra-long giving sets can be used when MRI specific pumps are not available.

434 3.40 All staff involved with transferring a patient to the MRI scanner should understand the unique
435 problems caused by monitoring and anaesthetic equipment in this environment. It is not
436 acceptable for inexperienced staff unfamiliar with the MR environment to escort or manage a
437 patient in this environment, particularly out of hours.^{63,64,66}

438 3.41 The patient and all staff should have an MRI safety and exclusion questionnaire completed
439 before entering the magnetic field.

440 3.42 In the event of an adverse incident in the MRI scanning room, the patient should be removed
441 from the scanning room without delay and immediate access to an anaesthetic preparation
442 room or resuscitation area is required.⁵

443 **Anaesthesia for electroconvulsive therapy**

444 3.43 Anaesthesia provided for electroconvulsive therapy (ECT) is frequently performed in remote
445 locations. Ideally, a consultant or an autonomously practising anaesthetist should provide
446 general anaesthesia. Appropriately trained recovery and ODP staff should be provided and
447 the guidance provided for anaesthetic provision in remote sites should be followed.⁶⁷

448 3.44 The ECT clinic should adhere to the ECT Accreditation Service (ECTAS) or Scottish ECT
449 Accreditation Network (SEAN) standards for administration of ECT and have been assessed
450 and accredited by ECTAS or SEAN.⁶⁷

451 3.45 There should be a clinical lead (see [Glossary](#)) for ECT who is responsible for provision of the
452 service in each anaesthetic department. The named consultant should be responsible for
453 determining the optimal location for provision of anaesthesia for patients of American Society
454 of Anesthesiologists (ASA) Classification III or above. Contingency plans for transfer to an acute
455 care facility should also be in place.^{67,68}

456 3.46 The ECT clinical lead should streamline the preassessment and consent processes for all ECT
457 patients by setting up a collaborative system with ECT clinics and experienced anaesthetists.
458 The mental capacity issues that affect informed consent should be acknowledged.

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459 3.47 Anaesthetists should have specialised knowledge of the effect of concurrent medications,
460 anaesthetic agents, anaesthetic techniques and equipment on the conduct and efficacy of
461 ECT, as well as the specific anaesthetic contraindications.^{68,69}

462 3.48 Standards specific to ECT clinics should be available including a minimum of four rooms: a
463 waiting room, treatment room, recovery area and post ECT waiting area.⁶⁷ The clinic should
464 have a reliable source of oxygen supplied either by pipeline or cylinder with a reserve supply
465 immediately available.

466 3.49 Recommendations for standards of monitoring during anaesthesia and recovery are stipulated
467 by the Association of Anaesthetists and should be adhered to for all ECT cases.²³

468 Anaesthesia for direct current cardioversion

469 The disturbance of physiological rhythm, the reduction in cardiac performance and the risk of embolic
470 phenomena all place patients requiring direct current (DC) cardioversion at risk of serious
471 complications when undergoing both anaesthesia and DC cardioversion.²

472 Detailed recommendations for cardiac procedures can be found in [Guidance on the Provision of](#)
473 [Anaesthesia Services for Cardiac Procedures](#).

474 3.50 External pacing equipment should be immediately available before beginning DC
475 cardioversion.^{2,67}

476 3.51 Facilities to check recent serum electrolytes, in particular potassium, and preferably
477 magnesium, as well as the patient's anticoagulation status and a recent electrocardiogram
478 (ECG) should be available before beginning a DC cardioversion. A preprocedural
479 echocardiogram is likely to provide useful information such as the presence of thrombus within
480 the cardiac chambers.⁷⁰

481 3.52 The anaesthetist should not be responsible for performing the cardioversion; an appropriately
482 trained physician, cardiologist or supervised nurse specialist is responsible for this role.⁴⁹

483 Anaesthesia for radiotherapy

484 3.53 Anaesthesia may be required for radiotherapy, to facilitate patient positioning and to alleviate
485 pain. Owing to the unique nature of the procedures involved in radiotherapy, the remoteness
486 of the location and the lack of direct access to the patient, only appropriately experienced
487 anaesthetists familiar with the therapy should embark on anaesthesia for these patients.^{71,72}

488 3.54 Anaesthetists should be familiar with the specific needs of patients with cancer, including the
489 following:

- 490 • the adverse effects of high concentrations of oxygen in the presence of some
491 antineoplastic agents, for example Bleomycin, and adjust their technique
492 accordingly.^{73,74} Recent evidence confirms the association between unnecessarily high
493 intraoperative FiO₂ and increased risk of major respiratory complications and 30-day
494 mortality. Inspired oxygen levels may require adjustment to maintain an acceptable level
495 of tissue oxygenation⁷⁴
- 496 • the interference of nitrous oxide with vitamin B12 and folate metabolism.⁷⁵

497 3.55 Patients with tumours of the lower body may be amenable to regional anaesthesia, and so
498 equipment and facilities to instigate, monitor and manage regional blockade should be
499 available.⁷³

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500 **General anaesthesia and sedation for dental procedures**

501 3.56 General anaesthesia for dentistry should be administered only by anaesthetists in a hospital
502 setting as defined by the Department of Health report reviewing general anaesthesia and
503 conscious sedation in primary dental care.⁷⁶

504 3.57 Guidelines including those published by the Association of Paediatric Anaesthetists of Great
505 Britain and Ireland for the management of children referred for dental extractions under
506 general anaesthesia should be followed.⁷⁷

507 3.58 Patients undergoing sedation or general anaesthesia by an anaesthetist should have
508 appropriate preoperative assessment with appropriate risk stratification.

509 **Gastrointestinal procedures**

510 3.59 Standards of service provided to patients receiving endoscopic procedures supported by
511 anaesthetic staff in the non-theatre environment should be comparable to other anaesthetic
512 services.

513 3.60 Anaesthetic staff providing care in the endoscopy suite should be familiar with the facility,
514 equipment, and techniques.

515 3.61 Preoperative assessment of elective patients receiving anaesthesia or sedation from
516 anaesthetic personnel should be of a comparable standard to other anaesthesia services.

517 3.62 The risks of serious adverse events during emergency endoscopy are elevated when
518 compared with elective procedures. Local protocols should include specific guidelines for
519 emergency endoscopy and the involvement of the anaesthetic team.

520 3.63 A patient centred safety checklist should be used for patients receiving endoscopy under
521 sedation.⁷⁸

522 3.64 Monitoring of patients receiving anaesthesia or sedation for endoscopy provided by
523 anaesthetic personnel should be comparable to other anaesthesia services.

524 3.65 High flow nasal oxygen therapy should be available for anaesthesia delivered sedation or
525 general anaesthesia for endoscopic procedures.

526 3.66 The post-anaesthetic recovery facilities when provided for patients following anaesthesia
527 delivered sedation or anaesthesia should be comparable to those provided in theatre
528 environments. The provision of a handover checklist can improve the transfer of care in the
529 recovery setting conveying pertinent clinical and procedural information.

530 3.67 Critical incidents should be reviewed at regular intervals and analysed for trends and learning
531 for the procedural team and wider hospital. This can involve a review of all hospital related
532 procedural sedation critical events.

533 **4 Training and Education**

534 4.1 All anaesthetists should be fully familiarised with all remote areas of anaesthetic provision prior
535 to undertaking anaesthetic procedures in that location, e.g., as part of their induction
536 process.⁷⁹ This should include familiarisation with the layout of the hospital and the location of
537 emergency equipment and drugs, access to guidelines and protocols, information on how to
538 summon support/assistance, and assurance that the anaesthetist is capable of using the
539 equipment in that hospital. All staff inductions should be documented.

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- 540 4.2 At all times, anaesthetists in training should be supervised at an appropriate level (1-4), which
541 varies depending on the stage of training, their previous experience and capability, their
542 familiarity with the specific remote site and the complexity of the procedure.⁸⁰
- 543 4.3 All anaesthetists with a job plan including sessions in non-theatre anaesthesia should be able to
544 demonstrate continued competency through maintenance of an appropriate level of
545 experience, and ongoing participation in relevant continuing professional development.^{2,3,81}
- 546 4.4 There should be regular multidisciplinary in situ simulation training to standardise clinical
547 practice. Non-theatre anaesthesia requires 'collaborative working across many specialities.
548 Non-technical skills are an important element of working across multiple teams and improve
549 the performance and outcomes of non-theatre services.⁸²
- 550 4.5 Training and education in the safe delivery of sedation techniques in the non-theatre
551 environment should be provided. The non-theatre environment is a high risk environment with a
552 recognised increase in morbidity and mortality. Evaluation of individual and team situational
553 awareness should reduce reported complications.^{83,84}
- 554 4.6 Hospitals should consider involving an anaesthetist in the training of 'non-anaesthetic sedation
555 practitioners.^{2,3}
- 556 **5 Organisation and administration**
- 557 5.1 Patient safety is, as always, of paramount importance. Particular attention should be paid to
558 teamwork, communication, the use of checklists and procedure brief when working in less
559 familiar environments. At the team briefing, an explicit plan should be agreed for requesting
560 help if required, recognising the risk of, and preparing adequately for, high blood loss, and life
561 threatening loss of the airway or respiratory function.⁸⁵
- 562 5.2 Many patients undergoing elective procedures outside the operating theatre can be
563 managed as day cases and should be assessed accordingly in conjunction with local
564 guidelines. All patients should undergo an appropriate risk assessment and level of
565 preoperative assessment in line with the GPAS recommendations in [Guidelines for the Provision
566 of Anaesthesia Services for the Perioperative Care of Elective and Urgent Care Patients](#).^{25,86}
- 567 5.3 Hospitals should have a system for multidisciplinary involvement in reporting and regular audit
568 of critical incidents and near misses. A risk register should be maintained for all remote
569 locations in the hospital.
- 570 5.4 Environmental hazards such as radiation exposure, MR fields and lack of a scavenging system
571 should be considered by staff before the start of each list. Volatile agent scavenging canisters,
572 air-oxygen mixtures and avoidance of nitrous oxide can mitigate environmental risks. Consider
573 total intravenous anaesthesia where adequate scavenging cannot be achieved. Personnel
574 who are pregnant may be particularly at risk in these environments and should follow local
575 occupational health policy.⁸⁷
- 576 5.5 In remote offsite locations, such as psychiatric hospitals where anaesthesia is provided for ECT,
577 advanced plans should be made to manage patient transfer if required. If there is any
578 concern about the safety of the procedure being undertaken by any staff members at a
579 remote location, for example ECT in a psychiatric hospital, then arrangements should be made
580 to perform the procedure in an operating theatre environment.
- 581 5.6 Documentation, to the standard used in the operating theatre, should be kept for all cases
582 and this should include the grade and speciality of the doctor performing and supervising the
583 anaesthetic along with the name of the supervising consultant designated to provide direct or

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584 indirect advice.²⁵ Access to the electronic patient record and medical notes should be
585 available at all remote sites.

586 **5.7** The department of anaesthesia should be involved in the design and planning of any service
587 requiring the provision of anaesthesia or deep sedation. A regular review of the remote
588 location performance, critical incidents and further improvements should be held.⁸⁸

589 **5.8** Patients meeting discharge criteria following anaesthesia or sedation should be discharged
590 into the care of a responsible third party. Verbal and written instructions for post-procedural
591 care should be provided if a procedure has been performed outlined in [Chapter 6: Guidelines](#)
592 [for the Provision of Anaesthesia Services for Day Surgery](#).⁸⁹

593 **Sedation**

594 The RCoA recognises the definitions of minimal, moderate and deep sedation as outlined in the
595 Academy of Medical Royal Colleges guidance on safe sedation.^{2,3} Deep sedation equates to general
596 anaesthesia and the recommendations outlined in [Chapter 2: Guidelines for the Provision of](#)
597 [Anaesthesia Services for the Perioperative Care of Elective and Urgent Care Patients](#) should be
598 followed.

599 The RCoA does not provide recommendations for sedation provided by non-anaesthetists and they
600 are encouraged to follow the guidance of their own Colleges and the Academy of Medical Royal
601 Colleges.^{2,3,25}

602 **5.9** A named anaesthetist should be responsible for liaising with consultants in other departments
603 with responsibility for sedation, to establish local guidelines and training for the provision of safe
604 sedation by non-anaesthetists.^{2,3,90}

605 **5.10** All institutions where sedation is practised should have a sedation committee. This committee
606 should include key clinical teams using procedural sedation and there should be a nominated
607 clinical lead for sedation. In most institutions, the sedation committee should include an
608 anaesthetist, at least in an advisory capacity.

609 **5.11** Each facility should develop written policies, designating the types of operative, diagnostic
610 and therapeutic procedures requiring anaesthesia or sedation.

611 **5.12** Guidelines for the management of rare emergencies must be prominently displayed at all sites
612 where sedation is administered.

613 **5.13** Mis-selection of high strength midazolam during conscious sedation is defined as a 'never
614 event' by the Department of Health.⁹¹ Hospitals should report these incidents and any other
615 incidents involving over-sedation to the National Reporting and Learning System.

616 **5.14** All patients undergoing procedural sedation should have oxygen saturation monitoring from
617 the administration of sedation to discharge from recovery. Supplemental oxygen should be
618 available and used, as necessary.⁵⁴

619 **5.15** Pulse oximetry, ECG, automated non-invasive blood pressure monitoring and wherever there is
620 loss of verbal contact, continuous waveform capnography, should be considered and
621 continued into the recovery period.²³

622 **5.16** Due to the continuum of depth of anaesthesia, individuals administering moderate sedation
623 should be able to rescue patients who enter deep sedation. Those administering deep
624 sedation should be adequately trained to rescue patients who enter a state of general
625 anaesthesia. This requires skilled anaesthetic assistance and equipment and involves the
626 potential for airway intervention and support of both ventilation and cardiovascular function.⁹²

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627 5.17 Sedation practitioners should maintain a logbook of cases performed and adverse incidents
628 reported.

629 **6 Financial considerations**

630 Part of the methodology used in this chapter in making recommendations is a consideration of the
631 financial impact for each of the recommendations. Very few of the literature sources from which
632 these recommendations have been drawn have included financial analysis.

633 The vast majority of the recommendations are not new recommendations, but they are a synthesis of
634 already existing recommendations. The current compliance rates with many of the recommendations
635 are unknown, and so it is not possible to calculate the financial impact of the recommendations in this
636 chapter being widely accepted into future practice. It is impossible to make an overall assessment of
637 the financial impact of these recommendations with the currently available information.

638 **7 Research, audit and quality improvement**

639 Non-theatre anaesthetic practice should adhere to the same standards of safety, audit and quality
640 improvement as operating room practice, especially as morbidity and mortality rates can be higher in
641 the non-theatre environment.²⁰ Clinical governance procedures should follow the guidelines of
642 [Guidelines for the Provision of Anaesthesia Services: The Good Department](#).
643

644 7.1 There should be local multidisciplinary audit programmes analysing systems, outcomes and
645 patient experience relating to anaesthesia and sedation in the non-theatre environment.

646 7.2 Audit programmes should be under regular review by a clinical lead and those relating to
647 sedation should be coordinated by a hospital sedation committee.^{2,3}

648 7.3 Regular feedback should be provided to anaesthetic staff and they should be encouraged to
649 participate in quality improvement activities.

650 7.4 Compliance with agreed guidelines should be audited, for example World Health Organization
651 (WHO) surgical safety checklist compliance, equipment and monitoring standards and
652 anaesthetic record keeping.^{1,72}

653 7.5 Anaesthesia departments should participate in relevant audit and research activities of
654 national bodies such as the Health Services Research Centre (HSRC) and National Confidential
655 Enquiry into Patient Outcome and Death (NCEPOD).⁷²

656 7.6 All episodes of ANTE should be captured in a structured record within clinical notes.

657 7.7 Regular MDT review of cases should be encouraged, with appropriate service improvement
658 initiatives and shared learning.

659 7.8 Contribution to airway registries, such as the Difficult Airway Society Database and Emergency
660 Medicine Airway Registry, should be encouraged.

661 **8 Implementation support**

662 The Anaesthesia Clinical Services Accreditation (ACSA) scheme, run by the RCoA, aims to provide
663 support for departments of anaesthesia to implement the recommendations contained in the GPAS
664 chapters. The scheme provides a set of standards, and asks departments of anaesthesia to
665 benchmark themselves against these using a self-assessment form available on the RCoA website.
666 Every standard in ACSA is based on recommendation(s) contained in GPAS. The ACSA standards are
667 reviewed annually and republished approximately four months after GPAS review and republication
668 to ensure that they reflect current GPAS recommendations. ACSA standards include links to the

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669 relevant GPAS recommendations so that departments can refer to them while working through their
670 gap analyses.

671 Departments of anaesthesia can subscribe to the ACSA process on payment of an appropriate fee.
672 Once subscribed, they are provided with a 'College guide' (a member of the RCoA working group
673 that oversees the process), or an experienced reviewer to assist them with identifying actions required
674 to meet the standards. Departments must demonstrate adherence to all 'priority one' standards listed
675 in the standards document to receive accreditation from the RCoA. This is confirmed during a visit to
676 the department by a group of four ACSA reviewers (two clinical reviewers, a lay reviewer and an
677 administrator), who submit a report back to the ACSA committee.

678 The ACSA committee has committed to building a 'good practice library', which will be used to
679 collect and share documentation such as policies and checklists, as well as case studies of how
680 departments have overcome barriers to implementation of the standards, or have implemented the
681 standards in innovative ways.

682 One of the outcomes of the ACSA process is to test the standards (and by doing so to test the GPAS
683 recommendations) to ensure that they can be implemented by departments of anaesthesia and to
684 consider any difficulties that may result from implementation. The ACSA committee has committed to
685 measuring and reporting feedback of this type from departments engaging in the scheme back to
686 the CDGs updating the guidance via the GPAS technical team.

687 9 Patient information

688 The Royal College of Anaesthetists have developed a range of [Trusted Information Creator](#)
689 [Kitemark](#) accredited patient information resources that can be accessed from our [website](#), including
690 information on sedation, resources for children and young people and accessible resources. Our main
691 leaflets are now translated into more than 20 languages, including Welsh.

692 9.1 All patients (and relatives where appropriate and relevant) should be fully informed about the
693 planned procedure and be encouraged to be active participants in decisions about their
694 care. Recommendations about the provision of information and consent processes outlined in
695 [Guidelines for the Provision of Anaesthesia Services for the Perioperative care of elective and](#)
696 [urgent care patients](#) should be followed.²⁵

697 9.2 Although separate written consent for anaesthesia is not mandatory in the UK, there should be
698 a written record of all discussions with patients undergoing sedation or anaesthesia about
699 methods of induction, associated risks and side effects.^{2,65}

700 9.3 In cases when rolling consent is used, e.g., radiotherapy treatment, appropriate
701 documentation should be kept as part of the patient record, including dates for review of
702 consent. This should be included in the trust's policy on consent.

703 9.4 Information regarding planned procedures outside of the operating theatre and the
704 requirement for sedation or anaesthesia should be given to the patient in advance of their
705 admission. Details on fasting times and medications to continue or omit should be included.
706 The patient needs to be aware that they require a competent adult to escort them home after
707 receiving sedation or alternatively require an inpatient hospital stay.²

708 9.5 Information to patients should include what to expect in the anaesthetic room and treatment
709 room.⁹³

710 9.6 Preoperative assessment and information giving should be done as per surgical procedures.⁴⁴

711 9.7 Patients from non-English speaking groups may require interpreters. Hospitals should have
712 arrangements in place to provide language support, including interpretation and translation

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713 (including sign language and Braille). This information should comply with the NHS England
714 Accessible Information Standard.⁹⁴

715 **9.8** Patients with learning and other difficulties may need special assistance and consideration,
716 with specific strategies put in place to aid communication. Further recommendations for
717 patients with additional needs are found in [section 3](#) of this document.

718 **9.9** The relevant mental capacity legislation must be complied with.^{95,96,97} Staff should have regular
719 training in its application and have defined access to patient advocates. This is a rapidly
720 changing area, and clinicians should have access to expert advice.

721 **9.10** Hospitals should have local policies in place for the identification, support and safeguarding of
722 vulnerable adults.⁹⁸

723 **Areas for future development**

724 A more detailed national audit of critical incidents associated with anaesthesia in the non-theatre
725 environment should be considered.

726 Paediatric surgical techniques and practices are evolving, and it is likely that the demand for out of
727 theatre surgical procedures and radiological investigations will increase.

728 The use of open MRI scanners for claustrophobic patients as an alternative to anaesthesia or sedation
729 is available in some hospitals. Current evidence shows that the image quality is not yet comparable to
730 that of enclosed MRI scanners. However, with further research and improvements this may become a
731 consideration for the future.

732 **Abbreviations**

ACSA	Anaesthesia Clinical Services Accreditation
ANTE	Anaesthesia in the non-theatre environment
CDG	Chapter Development Group
CQC	Care Quality Commission
DC	Direct current
ECT	Electroconvulsive therapy
ECTAS	ECT Accreditation Service
ED	Emergency department
GMC	General Medical Council
GP	General practitioner
GPAS	Guidelines for the Provision of Anaesthetic Services
MR	Magnetic resonance
MRI	Magnetic resonance imaging
NHS	National Health Service
NICE	National Institute for Health and Care Excellence
PSC	Professional Standards Committee
QMSG	Quality Management of Service Group
RCoA	Royal College of Anaesthetists
RCEM	Royal College of Emergency Medicine
RCTs	Randomised controlled trials
SAS	Staff grade, associate specialist and specialty doctors
SEAN	Scottish ECT Accreditation Network

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734 Glossary

735 **Clinical lead** – doctors undertaking lead roles should be autonomously practicing doctors who have
736 competence, experience and communication skills in the specialist area equivalent to consultant
737 colleagues. They should usually have experience in teaching and education relevant to the role and
738 they should participate in quality improvement and continued professional development activities.
739 Individuals should be fully supported by their Clinical Director and be provided with adequate time
740 and resources to allow them to effectively undertake the lead role

741 **Autonomously practising anaesthetist** – a consultant or a staff grade, associate specialist or specialty
742 (SAS) doctor who can function autonomously to a level of defined competencies, as agreed within
743 local clinical governance frameworks.

744 **Deep sedation** – describes a state where the patient cannot easily be roused but responds
745 purposefully to repeated or painful stimulation. It may be accompanied by clinically significant
746 ventilatory depression. The patient may require assistance maintaining a patent airway and positive
747 pressure ventilation.

748 **Immediately** – unless otherwise defined, 'immediately' means within five minutes.

749 **Minimal sedation** – is a drug induced state during which the patient responds normally to verbal
750 commands. Cognitive function and physical coordination may be impaired, but airway reflexes, and
751 ventilatory and cardiovascular functions are unaffected.

752 **Magnetic Resonance compatible** – equipment that is designated as MR compatible is MR safe,
753 functions normally in the MR environment, and does not interfere with the correct operation of the MR
754 imaging equipment providing instructions concerning its proper use are correctly followed.

755 **Moderate sedation/mild sedation** – describes a state where a purposeful response to verbal
756 commands either alone (conscious sedation), or accompanied by light tactile stimulation, is
757 maintained.

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Appendix 1: Recommendations grading

The grading system is outlined in the methodology section of this chapter. The grades for each of the recommendations in this chapter are detailed in the table below:

TBC

About these guidelines

Methodology

The process by which this chapter has been developed has been documented within the GPAS Chapter Development Process Document, which is available on request.

The evidence included in this chapter is based on a systematic search of the literature. Abstracts were independently screened by two investigators and reviewed against inclusion and exclusion criteria. Data were extracted by one investigator in accordance with predefined criteria. The review objective was to determine the key components needed to ensure provision of high-quality perioperative services for patients who have undergone surgery and/or interventions which involve anaesthesia.

Search strategy

Searches were performed on **TBC**, for the literature search strategy, outcomes, databases, criteria for inclusion and exclusion of evidence (for the full Neuroanaesthetic services chapter search protocol please contact the RCoA). A hand search of the literature was also conducted by the authors using the reference lists of relevant original articles and review articles.

The literature search was performed in **TBC**.

The authors and researcher independently reviewed the abstracts and titles of the studies found in the initial search. After agreement on the primary selection of papers, full-text versions were accessed and reviewed against the following predefined inclusion and exclusion criteria. The full-text papers were also reviewed by the CDG for suitability. The final list of publications used can be found in the references.

Inclusion criteria

The literature review considered studies that included the following patient population with all of the inclusion criteria listed below:

- all patients undergoing elective or emergency anaesthesia
- all staff groups working within Neuroanaesthetic care, under the responsibility of an anaesthetic clinical director, including (but not restricted to) consultant anaesthetists, autonomously practising anaesthetists, anaesthetists in training, nurses, operating department practitioners, surgeons, pharmacists, general practitioners, radiologists and radiographers.

Exclusion criteria

The literature review used the following exclusion criteria:

- provision of neuroanaesthesia provided by a speciality other than anaesthesia.

Data extraction and analysis

Data were extracted by the authors using a proforma. The study characteristics data included:

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- the journal and country of publication
- the number of patients recruited into the study
- the study design
- patient characteristics
- outcome data
- the logic of the argument
- author's conclusions
- reviewer's comments.

The patient characteristics data extracted were: age, gender and type of surgery. The analysis considers studies that included any clinical outcome, including (but not restricted to) survival, length of stay – critical care or hospital, morbidity, adverse effects and complications.

The evidence that is included in this chapter has been graded according to a grading system adapted from NICE and outlined below:

Level	Type of evidence	Grade	Evidence
Ia	Evidence obtained from a single large/multicentre randomised controlled trial, a meta-analysis of randomised controlled trials or a systematic review with a low risk of bias	A	At least one randomised controlled trial as part of a body of literature of overall good quality and consistency addressing the specific recommendation (evidence level I) without extrapolation
Ib	Evidence obtained from meta-analyses, systematic reviews of RCTs or RCTs with a high risk of bias		
IIa	Evidence obtained from at least one well-designed controlled study without randomisation		
IIb	Evidence obtained from at least one well-designed quasi-experimental study		
IIc	Evidence obtained from case control or cohort studies with a high risk of confounding bias		
III	Evidence obtained from well-designed non-experimental descriptive studies, such as comparative studies, correlation studies and case studies		

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IV	Evidence obtained from expert committee reports or opinions and/or clinical experiences of respected authorities	C	Expert committee reports or opinions and/or clinical experiences of respected authorities (evidence level IV) or extrapolated from level I or II evidence. This grading indicates that directly applicable clinical studies of good quality are absent or not readily available.
UG	Legislative or statutory requirements	M	This grading indicates that implementation of this recommendation is a statutory requirement, or is required by a regulatory body (e.g. CQC, GMC)
		GPP	Recommended good practice based on the clinical experience of the CDG.

Adapted from Eccles M, Mason J. How to develop cost-conscious guidelines. *Health Technology Assessment* 2001;5(16) and Mann T. Clinical guidelines: using clinical guidelines to improve patient care within the NHS. Department of Health, London 1996.

Strengths and limitations of body of evidence

Most of the published evidence on perioperative care anaesthesia services is descriptive. There are publications describing aspects of this process based on expert opinion.

The limitations of the evidence are:

- the 'unmeasurables' (attitudes, behaviour, motivation, leadership, teamwork)
- few randomised controlled trials (RCTs); studies frequently use mixed populations of emergency and elective patients, or all emergency patients grouped together despite different underlying diagnoses
- papers often examine a single intervention within complex system or bundle
- papers are often examining small numbers and/or patients from a single centre
- poor use of outcome measures, frequently concentrating on easily measured short-term outcomes which are not patient centred
- generally, a paucity of long-term follow up
- there is no standard definition used of 'high risk'
- use of different risk-scoring systems
- decrease in outcome over time and geography when 'good papers' are used in quality improvement programmes
- application of international studies in systems with either more or less resources than the UK into NHS practice
- older studies may no longer be applicable within the NHS
- very few studies included any analysis of financial implications
- evidence was mainly based on literature graded III and IV.

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Methods used to arrive at recommendations

Recommendations were initially drafted based on the evidence by the authors for the chapter. These were discussed with the CDG, and comments were received both on the content and the practicality of the recommendations. The level of evidence that was the basis for each recommendation was graded according to a grading system, and the recommendation was then graded taking into account the strength of the evidence and the clinical importance using a recommendations criteria form.

Recommendations were worded using the following system of categorisation:

Strength	Type of evidence	Wording
Mandatory	The evidence supporting the recommendation includes at least one with an 'M' grading	Wording should reflect the mandatory nature of the recommendation i.e. 'must'
Strong	Confidence that for the vast majority of people, the action will do more good than harm (or more harm than good)	Wording should be clearly directive 'should' or 'should not'
Weak	The action will do more good than harm for most patients, but may include caveats on the quality or size of evidence base or patient preferences	Wording should include 'should be considered'
Aspirational	While there is some evidence that implementation of the recommendation could improve patient care, either the evidence or the improvement is not proven or substantial	Wording should include 'could'
Equipoise	There is no current evidence on this recommendation's effect on patient care	Wording should include 'there is no evidence of this recommendation's effect on patient care'

Consultation

The chapter has undergone several rounds of consultation. The multidisciplinary CDG formed the first part of the consultation process. The authors and GPAS Editorial board identified key stakeholder groups. Where stakeholders are represented by an association or other medical college, they were asked to nominate delegates to join the CDG. The GPAS Chapter Development Process Document (available on request) explains the recruitment process for those CDG members who were not directly nominated. The CDG members were involved in drafting the recommendations, and were provided with an opportunity to comment on all subsequent drafts of the chapter.

The chapter underwent peer review. Peer reviewers were identified by the GPAS Editorial Board, Clinical Quality and Research Board (CQRB) or through the Clinical Leaders in Anaesthesia Network. Nominees were either anaesthetists of consultant grade or were nominated by a key stakeholder

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group. Nominees had not had any involvement in the development of GPAS to date and were asked to comment upon a late draft of the chapter.

Following peer review, the chapter was reviewed by the College's CQRB and the College's Lay Committee. Comments from all groups were considered and incorporated into a consultation draft.

The consultation draft of this chapter was circulated for public consultation from TBC. As well as being made available on the College's website and promoted via Twitter and the President's newsletter to members, the draft was also circulated to all key stakeholder groups identified by the authors and the College. A list of organisations contacted by the College is available from the GPAS team at the College: GPAS@rcoa.ac.uk.

The editorial independence of GPAS

The development of GPAS is wholly funded by the Royal College of Anaesthetists. However, only the GPAS technical team and the GPAS researcher are paid directly by the College for their work on GPAS: the GPAS Editors' employing organisation receives 2 programmed activities (PA) backfill funding. All funding decisions by the College are made by the chief executive officer, in collaboration with the senior management team and College Council.

The authors of the chapters are all fellows of the Royal College of Anaesthetists. Members of College Council cannot act as chair of any CDG, as this individual has the deciding vote under the consensus method of decision making used in the chapters. Where College Council members have been involved in chapter development, this has been declared and recorded.

All persons involved in the development of GPAS are required to declare any pecuniary or non-pecuniary conflict of interest, in line with the GPAS conflict of interest policy as described in the GPAS Chapter Development Process Document (available on request). Any conflicts of interest are managed on a case-by-case basis to maintain the transparency and impartiality of the GPAS document. The conflicts, and the way they were managed, are outlined at the beginning of the chapter.

The role of the GPAS Editorial Board and CQRB

The overall development of the entire GPAS document is overseen by the CQRB of the Royal College of Anaesthetists, which includes representatives from all grades of anaesthetist and from clinical directors, and which also has lay representation.

Responsibility for managing the scope of the document and providing clinical oversight to the project technical team is delegated by the CQRB to the GPAS Editorial Board, which includes individuals responsible for the various internal stakeholders (see above for membership). On the inclusion/exclusion of specific recommendations within each chapter, the Editorial Board can only provide advice to the authors. In the event of disagreement between the authors, the majority rules consensus method is used, with the GPAS Editor holding the deciding vote.

Both of these groups, along with the College's Lay Committee, review each chapter and provide comment prior to public consultation and are responsible for signoff before final publication. In the event of disagreement, consensus is reached using the majority rules consensus method, with the chair of CQRB holding the deciding vote.

Updating these guidelines

This chapter will be updated for republication in January 2025.

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Guidelines will be updated on an annual basis. The researcher will conduct the literature search again using the same search strategy to uncover any new evidence and members of the public will be able to submit new evidence to the GPAS project team. Where new evidence is uncovered, the lead author will decide whether the recommendations that were originally made are still valid in light of this new evidence.

If new evidence contradicts or strengthens existing recommendations, the authors decide whether or not to involve the remainder of the CDG in revising the recommendations accordingly.

If new evidence agrees with existing recommendations, then a reference may be added but no further action is required.

If there is no new evidence then no action is required.

This chapter is due to be fully reviewed for publication in January 2028.

Every five years guidance will be submitted to a full review involving reconvening the CDG (or appointment of a new, appropriately qualified CDG), and the process described in the methodology section of this chapter begins again.



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